

10/10/00

JC932 U.S. PTO

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. CROSS-NU-CIPFirst Inventor or Application Identifier KYLE HAYESTitle See 1 in AddendumExpress Mail Label No. EL641108136USAPPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]4. Oath or Declaration [Total Pages 1]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 3: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.26).

5. ☐ Microfiche Computer Program (Appendix)6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7. ☐ Assignment Papers (cover sheet & document(s))
- 8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- 9. ☐ English Translation Document (if applicable)
- 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 11. ☐ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 13. ☒ Small Entity Statement(s) ☐ Statement filed in prior application
(PTO/SB-09-12) Status still proper and desired
- 14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15. ☐ Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. 09/415,805Prior application information: Examiner E. ROBERTGroup / Art Unit: 3732

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type) LAURA F. SHUNK

Registration No. (Attorney/Agent)

31,423Signature Laura F. ShunkDate Oct 10, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT APPLICATION TRANSMITTAL LETTER TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith for filing is the patent application of: INVENTORS NAME: KYLE HAYES

For: TITLE OF INVENTION: BONE STABILIZATION PLATE WITH A SECURED-LOCKING MECHANISM

Enclosed are:

- ☒ sheets of drawings (___ formal ___ informal)
- ☐ an assignment of the invention to COMPANY NAME
- ☐ an assignment recordation cover sheet
- ☐ a certified copy of a _____ application.
- ☐ an associate power of attorney
- ☒ a verified statement to establish small entity status under 37 CFR §1.9 and §1.27.

Claims as Filed					Small Entity			Other Than A Small Entity	
For	No. Filed		No. Extra		Rate	Fee	Or	Rate	Fee
Basic Fee						\$ 355.00			\$710
Total Claims	12	-20 =	0	*	X \$9 =	\$ 0	Or	X \$18 =	\$0
Indep. Claims	1	-3 =	0	*	X \$39 =	\$ 0	Or	X \$78 =	\$0
Multiple Dependent Claims Present					+ \$130 =	\$ -0-	Or	+ \$260 =	\$0
						Total \$ 355.00	Or		Total \$

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2

- ☐ Please charge the Filing Fee to Deposit Account No. _____ in the amount of \$ _____.
☐ A check in the amount of \$40.00 is enclosed to cover the Assignment recording fee.
☐ Charge Assignment Recording Fee in the amount of \$ _____ to Deposit Account No. _____.
☒ A check in the amount of \$ 355.00 is enclosed to cover the filing fee.
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to **Deposit Account No. 08-3150**. A duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37 CFR §1.16.
☐ Any patent application processing fees under 37 CFR §1.17.
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☐ Any patent application processing fees under 37 CFR §1.17.
☐ The issue fee set in 37 CFR §1.16 at or before mailing of the Notice of Allowance, pursuant to 37 CFR §1.311(b).

October 10, 2000
 Date:

Laura F. Shunk
 Laura F. Shunk, Reg. No. 31,423
 HUDAK & SHUNK CO., L.P.A.
 7 West Bowery Street, Suite 808
 Akron, Ohio 44308-1133
 Phone: (330) 535-2220
 Fax: (330) 535-1435

JC892 U.S. PTO
 09/685708
 10/10/00

09685708.101000

PATENT APPLICATION TRANSMITTAL LETTER TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

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 7 West Bowery Street, Suite 808
 Akron, Ohio 44308-1133
 Phone: (330) 535-2220
 Fax: (330) 535-1435

JC992 U.S. PTO
 09/08/00
 10/10/00

10-11-00

A

Attorney's Docket No. CROSS-NN-CIP

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7 West Bowery Street, Suite 808
Akron, Ohio 44308-1133
Phone: (330) 535-2220
Fax: (330) 535-1435

CERTIFICATE OF MAILING BY "EXPRESS MAIL
UNDER 37 CFR 1.10 - SEPARATE PAPER

Applicant: Kyle Hayes

Serial No.:

For: "BONE STABILIZATION PLATE WITH A SECURED-LOCKING MECHANISM
CERVICAL FIXATION"

Examiner:

Group Art Unit:

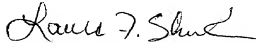
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Date of Deposit: October 10, 2000

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Respectfully submitted,

HUDAK & SHUNK CO., L.P.A.



Laura F. Shunk
Registration No. 31,423

LFS/sms

7 West Bowery Street, Suite 808
Akron, OH 44308-1133
Telephone: (330) 535-2220
Fax: (330) 535-1435

Attorney Docket No.: CROSS-NN-CIP

Enclosures:

Express Mail Certificate
Return Postcard
Application Transmittal Letter (in triplicate)
18 sheets of specification including 12 claims and Abstract
4 sheets of formal drawings
Small Entity Statement
Check in the amount of \$355.00 for filing fee

000101-80758960

Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

1. BONE STABILIZATION PLATE WITH A SECURED-LOCKING MECHANISM
CERVICAL FIXATION

09685708-101000